

RECOMMENDATION TO GISB EXECUTIVE COMMITTEE

Requester: PanEnergy

Request No.: R97011

1. Recommended Action:

- Accept as requested
- Accept as modified below
- Decline

Effect of EC Vote to Accept Recommended Action:

- Change to Existing Practice
- Status Quo

2. TYPE OF MAINTENANCE

Per Request:

- Initiation
- Modification
- Interpretation
- Withdrawal

- Principle (x.1.z)
- Definition (x.2.z)
- Business Practice Standard (x.3.z)
- Document (x.4.z)
- Data Element (x.4.z)
- Code Value (x.4.z)
- X12 Implementation Guide
- Business Process Documentation

Per Recommendation:

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- Document (x.4.z)
- Data Element (x.4.z)
- Code Value (x.4.z)
- X12 Implementation Guide
- Business Process Documentation

3. RECOMMENDATION

SUMMARY:

- * Add GISB Standard No. 5.3.x.
- * Move the data element Bidder Company Code to the detail level of the Offer Upload Final Disposition.
- * Modify the condition on the data element Replacement Shipper Contract Number in the Offer Upload Final Disposition..
- * Add a code value description to the Disposition Response Code in the Offer Upload Final Disposition.
- * Modify the Technical Implementation of Business Process for the Offer Upload Final Disposition.

STANDARDS LANGUAGE:

GISB Standard No. 5.3.x:

There should be no communication of the identity of non-winning, non-prearranged bidders in the Offer Upload Final Disposition.

The identity of the winning bidder(s) should be sent to the releasing shipper in the Offer Upload Final Disposition.

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In the case of multiple bids upon one offer, the Transportation Service Provider (TSP) should have the choice of either sending an Offer Upload Final Disposition to the winning bidder(s) identifying all winning bidders, or sending an Offer Upload Final Disposition to each winning bidder identifying only that party as a winning bidder.

In the case of a non-winning pre-arranged bidder, the TSP should have the choice of either sending an Offer Upload Final Disposition to the non-winning prearranged bidder identifying all winning bidders and identifying the pre-arranged bidder as a non-winning bidder, or sending an Offer Upload Final Disposition to the non-winning pre-arranged bidder identifying only the pre-arranged bidder as a non-winning bidder.

DATA DICTIONARY (for new documents and addition, modification or deletion of data elements)

Document Name and No.: Offer Upload Final Disposition, 5.4.12

| Business Name (Abbreviation) | Definition | Data Group | EDI/ FF Usage | Condition |
|---|--|-----------------------|--------------------------|---|
| Replacement Shipper Contract Number (Repl SR K) | A unique identifier assigned by each transportation service provider identifying the replacement contract assigned to the replacement shipper for the awarded released capacity. | C | C | Mandatory when this document is sent to the prearranged replacement shipper that was awarded the offer. <u>When this document is sent to a replacement shipper, that replacement shipper's contract is mandatory.</u> |

CODE VALUES LOG (for addition, modification or deletion of code values)

Document Name and No.: Offer Upload Final Disposition, 5.4.12

Data Element: Disposition Response Code

| Code Value Description | Code Value Definition | Code Value |
|---|----------------------------------|-------------------|
| <i>Offer awarded to prearranged bidder and subsequent bidder(s)</i> | <i>{no definition necessary}</i> | <i>U7</i> |

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BUSINESS PROCESS DOCUMENTATION (for addition, modification or deletion of business process documentation language)

Standards Book: Offer Upload Final Disposition, 5.4.12

Technical Implementation of Business Process:

The Offer Upload Final Disposition is used to inform parties that the prearranged or non-prearranged deal has been completely processed through the cycle. The Offer Upload Final Disposition is sent by the transportation service provider to the following parties:

- 1) the releasing shipper that submitted the Offer Upload;
- 2) the prearranged bidder identified in the Offer Upload; and,
- 3) the winning bidder that submitted the Bid Upload.

The deal may or may not have resulted in a contract. ~~The Offer Upload Final Disposition is only used when the offer is a prearranged deal.~~ The Offer Upload Final Disposition tells the releasing shipper if the deal was awarded, if it was awarded to the prearranged bidder or a non-prearranged bidder, or if it was not awarded and the reason why. The Offer Upload Final Disposition tells the prearranged bidder the status of the deal and, if the prearranged bidder was awarded the capacity and is now the replacement shipper, informs the replacement shipper of the new contract number under which the replacement shipper may transact business.

The **offer tracking identifier**, provided by the releasing shipper in the Offer Upload, is used to identify the specific offer that this Offer Upload Final Disposition is referencing.

TECHNICAL CHANGE LOG (all instructions to accomplish the recommendation)

Document Name and No.: Offer Upload Final Disposition (5.4.12)

| |
|---|
| Description of Change: |
| G567UDFD - Offer Upload Final Disposition (5.4.12) |
| Data Element Xref to X12 |
| Header N1 segment: delete N1 segment for Bidder Company Code |
| Detail: add new N1 segment below the Replacement Shipper Contract Number (in a new row) as follows: "N1 M Bidder Company Code" |
| Sample X12 Transaction |
| in both examples, move the row beginning with "N1*BY*" below the CS segment |
| X12 Mapping |
| Header BC segment (position 020): BC06: add code value U7 with code value note "Offer awarded to prearranged bidder and subsequent bidder(s)." |
| Header N1 segment (position 030): N1 segment notes: change segment note to read "For GISB, this segment is mandatory."; N101: delete code value BY; N104: delete data element name "Bidder Company Code" |
| new Detail N1 segment (position 120): mark as Must Use; N1 segment notes: "For GISB, this segment is mandatory."; N101: add code value BY; N102: mark as not used; N103: add code value 1; mark as Must Use; N104: add element note: "Bidder Company Code"; mark as Must Use; mark remaining elements as not used |

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4. SUPPORTING DOCUMENTATION

a. Description of Request:

Move the Bidder Company Code to the same level as the Bid Number in the Offer Upload Final Disposition.

b. Description of Recommendation:

Business Practices Subcommittee

Motion: “The following motion on this request was made by Mr. Lander at the November 18, 1999 meeting and was deferred to this meeting. Mr. Lander asked Ms. Van Pelt to chair the meeting for this request and she agreed.

As a 5.3.x standard:

There should be no communication of the identity of non-winning, non-prearranged bidders in the Offer Upload Final Disposition.

The identity of the winning bidder(s) should be sent to the releasing shipper in the Offer Upload Final Disposition.

In the case of multiple bids upon one offer, the Transportation Service Provider (TSP) should have the choice of either sending an Offer Upload Final Disposition to the winning bidder(s) identifying all winning bidders, or sending an Offer Upload Final Disposition to each winning bidder identifying only that party as a winning bidder.

In the case of a non-winning pre-arranged bidder, the TSP should have the choice of either sending an OfferUpload Final Disposition to the non-winning prearranged bidder identifying all winning bidders and identifying the pre-arranged bidder as a non-winning bidder, or sending an Offer Upload Final Disposition to the non-winning pre-arranged bidder identifying only the pre-arranged bidder as a non-winning bidder.

As Instructions to IR:

The OfferUpload Final Disposition should accommodate the communication of the identity of the winning bidder(s) to the Releasing Shipper in one communication.

Modify the condition of Replacement Shipper Contract Numner in the OfferUpload Final Disposition such that the winning bidder’s contract number be communicated to that winning bidder. This is not intended to conflict with the the ability of a TPS to send the same OfferUpload Final Disposition document to all winning bidders.

Action: The motion carried unanimously.

Sense of the Room: December 16, 1999 13 In Favor 0 Opposed

Segment Check (if applicable):

In Favor: ___ End-Users ___ LDCs 8 Pipelines 1 Producers 4 Services

Opposed : ___ End-Users ___ LDCs ___ Pipelines ___ Producers

Services

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Information Requirements Subcommittee

In order to accommodate the sending to the Releasing Shipper all winning bidder(s), the data element Bidder Company Code should be moved from the header level to the detail level, i.e. the same level at which the Bid Number occurs. This would allow the looping of multiple winning bidder(s). The usage of the data element Bidder Company Code remains “mandatory”.

A review of the code values for the data element Disposition Response Code was performed. An additional discussion ensued as to whether or not the Disposition Response Code should be moved from the header level to the detail level.

It was decided that a new code value should be added as follows:

Offer Upload Final Disposition, Disposition Response Code

| Code Value Description | Code Value Definition | Code Value |
|---|----------------------------------|------------|
| <u>Offer awarded to prearranged bidder and subsequent bidder(s)</u> | <u>[no definition necessary]</u> | |

No changes to the Technical Implementation of Business Process or the Sample Paper transaction are required.

Motion: Modify the Offer Upload Final Disposition data set as documented above.

Sense of the Room: March 29, 2000 5 In Favor 0 Opposed

Technical Subcommittee

Sense of the Room: June 29, 2000 4 In Favor 0 Opposed

Executive Committee (August 24, 2000)

Allowed the IR Co-Chair to postpone EC consideration of the request to allow for a review of comments submitted by Enron Gas Pipeline Group.

Information Requirements Subcommittee

Motion: Revise the Offer Upload Final Disposition (GISB Standard 5.4.12) as follows:

| Business Name | Definition | Usage | Condition |
|-------------------------------------|--|-------|---|
| Replacement Shipper Contract Number | A unique identifier assigned by each transportation service provider identifying the replacement contract assigned to the replacement shipper for the awarded released capacity. | C | Mandatory when this document is sent to the prearranged replacement shipper that was awarded the offer. <u>When this document is sent to a replacement shipper, that replacement shipper's contract is mandatory.</u> |

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TECHNICAL IMPLEMENTATION OF BUSINESS PROCESS

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The deal may or may not have resulted in a contract. ~~The Offer Upload Final Disposition is only used when the offer is a prearranged deal.~~ The Offer Upload Final Disposition tells the releasing shipper if the deal was awarded, if it was awarded to the prearranged bidder or a non-prearranged bidder, or if it was not awarded and the reason why. The Offer Upload Final Disposition tells the prearranged bidder the status of the deal and, if the prearranged bidder was awarded the capacity and is now the replacement shipper, informs the replacement shipper of the new contract number under which the replacement shipper may transact business.

The **offer tracking identifier**, provided by the releasing shipper in the Offer Upload, is used to identify the specific offer that this Offer Upload Final Disposition is referencing.

Sense of the Room: October 24, 2000 4 In Favor 0 Opposed

Technical Subcommittee

Sense of the Room: November 21, 2000 3 In Favor 0 Opposed

c. Business Purpose:

Per the request: The proposed change would allow for compliance with GISB Standard 5.3.4 by allowing the TSP to send all bidders in one communication.

d. Commentary/Rationale of Subcommittee(s)/Task Force(s):